

# Real-World Authentication of Feasible and Readily Collectable Person Using In-Ear EEG Biometrics

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## **Abstract**

We suggest a generic "one-size-fits-all" in-ear EEG monitor (collectability) made of viscoelastic material as the basis for a readily deployable EEG biometrics system that doesn't need specialised help or time-consuming setup. Since training and test portions are not derived over the similar tracking days, we examine data that was collected for multiple individuals and over multiple recording days. The state of rest with closed eyes scenario, the application of non-parametric (spectral) features and parametric (autoregressive model), and support through quick and easy cosine distance, support vector machine models, and linear discriminant analysis are all examined as part of a solid strategy. The findings are comparable to studies built on theoretical on-scalp recordings and take into account the validation as well as recognition forensics situations. The suggested ear-EEG biometrics' viability is shown through thorough analysis across a variety of subjects, settings, and analytic features. It also shows its potential to address the important collectability, reliability, and reproducibility problems connected with existing EEG biometrics. A useful biometric system should also optimise the trade-off among performance, approval, and circumvention; basically, it should be built with precision, rapidity, and resource needs in mind. Goal is to increase identification protection, to establish identity using the EEG data and to prevent biometric theft.

## **1. INTRODUCTION**

Person verification, which is the process of verifying a person's stated identity, is already commonplace in many spheres of life. There are three types of authentication strategies: token-based (visa, card), knowledge-based (password, PIN) and biometric (fingerprints, iris). The most widely employed techniques of identification rely on information & symbols, but these are susceptible to fraud and forgery. As "biomarkers" of an individual, biometric identification techniques depend on a person's distinctive physiological or behavioural traits. But even so, biometric identification systems are difficult to manage and demand a lot of computational and human resources, including specialised recording tools and the necessary categorization software. Electroencephalogram (EEG), an electrical potential among particular places on head that results from the electrical field produced by collections of cortical neurons, is among the various biometric methods presently under investigation. Clinical neurophysiologists have studied EEG alpha rhythms and found that they have a significant ability to distinguish between different people. Because brain activity is neither exposed to the environment nor can it be recorded remotely, brain patterns of an individual are resistant to falsification. In recent years, electroencephalogram (EEG) devices that can measure a person's brain waves have drawn a lot of interest. These brainwaves, which are detected as electrical activity on the cranium, can provide a person with a variety of information. The visual cortex region of the brain, which is located on the back of the skull, is the ideal location to detect brain-waves linked to the visual sense. EEG has been used in therapeutic settings to identify a patient in various areas. The objective of this research is to ascertain whether EEG can be used to identify an individual and whether a trustworthy authentication system can be made utilising EEG. Whenever a user authenticates prospectively, the system analyses their previously recorded brainwaves after being exposed to a picture to fresh recordings made while using the same image. Since brainwaves and ideas cannot be read by others, using brainwaves to identify users has some benefits over other biometric identification systems based on fingerprint or retinal readings. Different methodologies have

been investigated in the research of brain activity throughout particular mental states in order to capture distinguishing characteristics for user identification. Several techniques, including functional magnetic resonance imaging (fMRI), positron emission tomography (PET) and near-infrared spectroscopy can be used to capture brain activity. The use of biometric identification is becoming more widespread, but some applications have a number of issues, including inaccuracy, privacy concerns, and practicality. For instance, if the application is static, only the first authentication is needed, which makes it possible for a malicious insider to obtain access after the approved user has been properly authenticated. Universality, uniqueness, constancy, and collectability are the four basic criteria for a biometric identification system. To make EEG based biometric identification a reality, the criteria for identity and consistency must be fulfilled. The approach's failure rate when verifying people must be relatively low in order for it to satisfy the uniqueness criterion. Low failure rates in collecting the proper EEG signal and low failure rates in recognising a particular signal can be used to decompose this requirement into 2 derived requirements. Careful analysis and processing are needed to pinpoint the locations of the statistically sound variations in order to choose the appropriate parameters for feature extraction and categorization.

## 2. LITERATURE SURVEY

Electrocardiogram (ECG) signal classification is crucial for making accurate diagnoses of cardiac conditions. This article provides an overview of ECG classification into different kinds of arrhythmia. In order to diagnose cardiac diseases and discover the best course of therapy for a patient, early and precise arrhythmia type detection is crucial. Artificial neural networks have grown to be the most commonly used predictor for ECG categorization among all classifiers. This article presents a comprehensive overview of preprocessing methods, ECG datasets, feature extraction methods, algorithms, and performance metrics. This essay also examines classifier output, pulse selection analysis, and ECG categorization problems. Patients who may be at danger of cardiac disease due to a family history of heart disease, smoking habit, having diabetes, being overweight, having high cholesterol, or having high BP may benefit from having an Electrocardiogram performed. A heart attack, an abnormal cardiac beat, and an enlarged heart are among the heart conditions that an Electrocardiogram can identify. Machine learning methods for ECG signal classification can give physicians useful information to support the diagnosis. Identification of the abnormality present in a patient's ECG output may be aided by classification and identification of the different kinds of arrhythmia. The detection of cardiac diseases and improved patient care are both possible after finding the abnormality. Accurate ECG categorization into arrhythmia categories gives doctors the knowledge they need to identify cardiac diseases and choose the best course of treatment for their patients. Due to difficulties in the categorization process, classifying ECG data is a difficult challenge. Lack of ECG feature consistency, ECG feature heterogeneity, uniqueness of ECG patterns, absence of ideal classification criteria for ECG classification and variability in patient ECG waveforms are the main problems. Another challenge in the categorization of ECG arrhythmias is creating the best classifier that can identify arrhythmia in real-time. ECG signal categorization is used to diagnose a new patient more accurately than directly and to identify the sort of abnormality. Additionally, it aids in the detection and treatment of individuals with heart conditions [1]. For defibrillation treatment to be effective, it is essential to identify ventricular fibrillation (VF) and fast ventricular tachycardia (VT) early. Based on the ECG's derived temporal, spectral, or complexity characteristics, numerous different detection methods have been put forth. These programmes, even so, are typically created by taking into account each element separately. In this research, researchers introduce a new life-threatening arrhythmias detection method that incorporates several previously suggested ECG factors by utilising support vector machine models. Thirteen factors in total were calculated to take into consideration the temporal (morphological), spectral, and complexity characteristics of the ECG signal. To examine the applicability of the calculated parameters and how they influence in the identification performance, it was recommended to use a filter-type feature selection (FS) method. Using data from the ventricular arrhythmia database, the ventricular tachycardia database, and the Creighton University ventricular tachycardia database, the suggested methodology was assessed in 2 distinct binary detection scenarios: VF versus non VF rhythms and shockable (FV plus VT) versus nonshockable arrhythmias. Analysis of the out-of-sample test data's sensitivity (SE) and specificity (SP) values revealed that, for the shockable and VF situations, respectively,  $SE = 92\%$  and  $SP = 97\%$  and  $SE = 95\%$  and  $SP = 99\%$ . By comparing this method to individual detection techniques, their performance was markedly enhanced. Their findings show that using statistical learning methods to combine ECG data increases the effectiveness of identifying life-threatening arrhythmias. In the current research, 13 prior specified ECG factors were combined utilising SVM learning algorithms in order to

create a highly effective life-threatening arrhythmias detector. The two-fold goal in this situation is to compare the effectiveness of the suggested SVM detection algorithm to prior established techniques using out-of-sample test data. The 2<sup>nd</sup> goal was to look at how discriminating qualities of each ECG measure separately & collectively impacted learning. To assess the importance of each ECG parameter, we used an innovative FS filter-type approach that involved fusing three distinct FS filter-type methods into a singular rating score. The method is very effective in both real-time and virtual settings but Classification takes more time than it should [2].

Signals from electroencephalograms (ECGs) are frequently utilised to examine brain activity, such as to identify different phases of sleep. These Electrocardiogram impulses are by their very nature nonlinear and nonstationary. Sleep staging using linear methods and eye perception is challenging. Therefore, to uncover concealed information in the sleep ECG signal, researchers employ a nonlinear method called higher order spectra (HOS). This research suggested novel bi-spectrum and bi-coherence plots for different stages of sleep. These can be applied in a variety of medical applications as visible aids. From these graphs during the different phases of sleep (Wakefulness, Rapid Eye Movement (REM), Stage 1-4 Non-REM), Several HOS-based characteristics were taken. Utilizing the ANOVA test, these features were determined to be statistically significant with p-values under 0.001. For automated identification, these characteristics were put into a Gaussian mixture model (GMM) classifier. These findings show that the suggested system can recognise different phases of sleep with an accuracy of 88.7%. Electroencephalogram (EEG) data have been successfully used for non-linear signal processing to examine the patterns of intricate underlying behaviour. 5–7,10,11,20,21,30,34–38,42,43,46,49,53,59,62 These techniques outperform more conventional linear techniques like time domain and power spectrum analysis. 44 The evaluation of sleep-related illnesses, like sleep apnea, melancholy, schizophrenia and other brain abnormalities, requires the use of sleep studies. Utilizing higher order spectra, analyze and automatically identify different phases of slumber. 20 to 50% of EEG signals during this period of slumber are delta waves, with the remaining signals being theta waves. In Stage 4, over fifty percent of the EEG signals are delta waves with a frequency range of 0.5 to 2 Hertz. Beta waves are more prevalent & have a frequency higher than 12 Hertz when a person is in REM slumber. Dreaming is a common feature of REM slumber, which is characterised by an extremely active brain state. Using higher order spectra, analyse and automatically identify different phases of slumber. According to their understanding, there aren't many portable sleep trackers that can differentiate between wakefulness, REM sleep, and various stages of non-REM sleep. Those that do require all 5 sleep staging signals—two EEGs, two EOGs, and one EMG—are complicated and need a great deal of technological know-how to run in a home setting. Actimetry-based simplified systems are accessible, but they can only differentiate between wakefulness and sleep; they cannot specify the precise state of slumber. Using higher order spectra, analyse and automatically identify different phases of slumber. The feature stability was improved as a result of the extraction of features from the different phases. The classification results in a poor precision of the procedure [3].

Accidents are gradually rising along with the number of vehicles and their activities on the roads. For the officials, preventing or reducing such deadly incidents on the roads has become a nightmare. Knowing that approximately 50% of all traffic mishaps worldwide are caused by drunk driving is alarming. Any method or tool to lower these fatalities will be very beneficial. The "SMART CAP" technology is a way to save Hundreds of thousands of precious lives of people and will aid in avoiding such fatalities (caused by drunk driving and sleepiness while driving). The "SMART CAP" device monitors brain activity and deters drunk drivers from creating mishaps. It is founded on the observation that alcohol consumption results in decreased alpha activity and increased theta activity (alpha and theta activity are various frequency bands of brain activity). The brow band-shaped smart cap has five integrated electrodes that are used to record the ECG data. Alpha, beta, gamma, and delta pulses are created from the ECG data using this algorithm-loaded processor. The presence of booze is examined in the decomposed ECG trace. Depending on whether there are or are not Electrocardiogram anomalies, the algorithm's voltage is used to power the relay system. The relay mechanism has taken the position of the car's keyhole. The process of electroencephalography involves capturing electrical brain impulses. This electrical activity is detected by tiny electrodes put on the forehead, amplified, and captured as brain waves. These brain waves show the action that is occurring in different parts of the brain. Additionally, it was noted during the poll that the majority of accident patients were left unattended until the authorities arrived. This is yet another factor contributing to the rise in fatal mishap rates. To prevent mishaps, a variety of preventative measures can be taken, such as widening the paths, educating drivers, installing traffic signs and lights in strategic locations, etc. Drowsiness accounts for between 10 and 20 percent

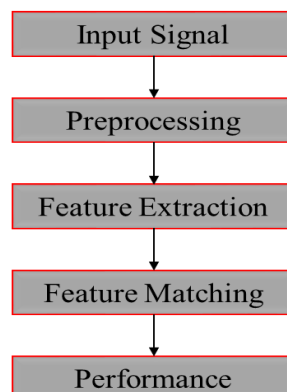
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of traffic mishaps induced by human variables and between 57 and 80 percent of truck accidents. Driving while fatigued is a serious but frequently ignored issue with traffic safety. Both in real life and in a simulation, the procedure is very effective [4].

Electroencephalography (EEG), which measures brain electrical activity, has been studied and identified utilising variety of methods. Because the nonlinear link among functional and anatomical subsystems that developed in the brain during both healthy conditions and different illnesses, complexity measure, nonlinearity, disorder, and volatility play a crucial role among them. To determine the degree of complexity in long-range temporal correlation time series ECG of Alcoholic and Control subjects obtained out of the University of California Machine Learning repository, Multiscale Permutation Entropy (MPE), a recently developed signal analysis technique, is suggested. The results are compared with MSE. For each coarse-grained time series produced using MPE, the PE is calculated against the electrodes O1, O2, C3, C4, F2, F3, F4, F7, F8, Fp1, Fp2, P3, P4, T7 and T8. Higher significant values than MSE and, in turn, mean rank disparities are obtained from the findings calculated using MPE against each electrode. Similar to how MPE provides greater separation against each electrode than MSE does, higher isolation is provided by ROC and Area under the ROC when compared to each neuron. Theta rhythm is most prominent in the frontal lobes of the brain when a person is constantly involved in mental work (i.e., active or phasic theta) and in back part of the brain when they are relaxing (i.e., tonic theta or resting theta). Relatively little theta rhythm is found in the average adult awake EEG data. Tonic theta is known to rise in a number of brain conditions, including Alzheimer's disease, and is also known to rise in conditions where cognitive function is declining. The Collaborative Study on the Genetics of Alcoholism (COGA) has investigated tonic theta potential in drinkers. Researchers contrasted the theta power with the eyes closed in 307 alcohol-dependent research volunteers and 307 control individuals who were of similar age and gender (Rangaswamy et al. 2003). At all head sites, the resting theta strength was higher in the drinking group. The parietal areas, which are at the top of the brain, in the rear, were where the increased theta strength was most noticeable in both male and female alcoholics; in men, this pronounced theta also stretched further forward to the centre areas. There were no variations between the groups when drinking factors (like the time since the last drink and the number of drinks consumed on average each week) were correlated with theta power [5].

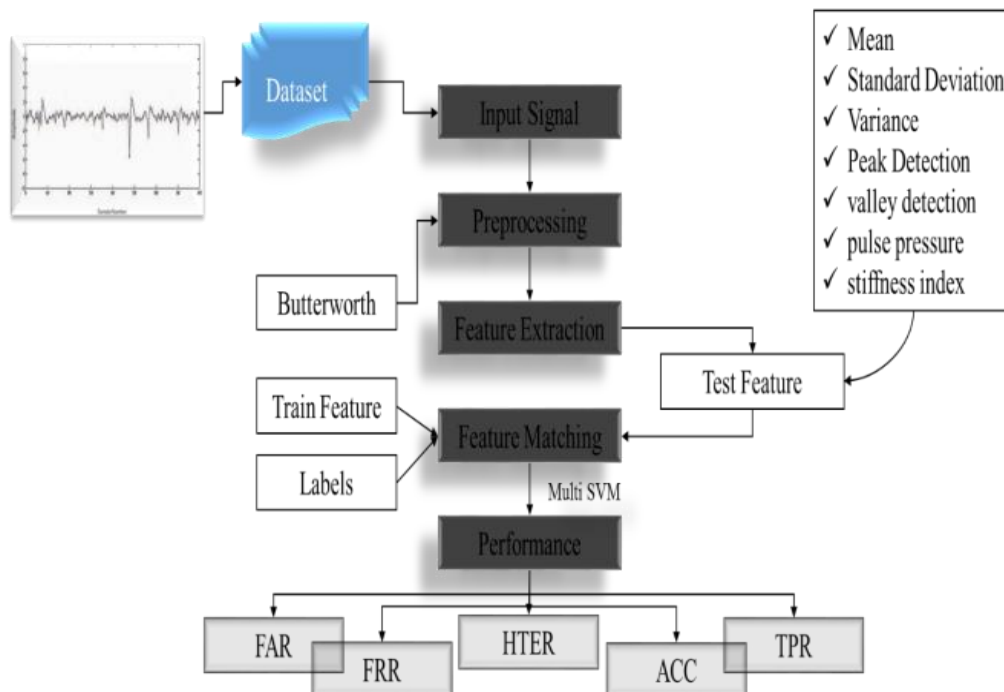
### 3. PROPOSED SYSTEM

One of the main study fields in Human-Computer Interaction is encephalogram (EEG) devices. For engaging with an increasing number of apps, they offer a special brain-machine interface (BMI). Computer systems, such as conventional desktop PCs and more lately mobile devices, can communicate with EEG devices. Users with malicious intent may attack these computational tools. The efficacy of access control methods, the first line of defense in any computational system, can certainly be improved by utilising EEG capabilities. A variety of authenticators, such as "what you know", "what you have" and "what you are" are used by access control systems. The fingerprints authenticator, also referred to as the "what you are" authenticator, is becoming more and more popular. It correctly authenticates users using a user's distinctive characteristics, such as fingerprints and face pictures. Cognitive biometrics, which gauges how the brain reacts to events, is a developing method in bodily biometrics. Numerous instruments, such as EEG systems, are capable of measuring these inputs.



**Fig 1:Block Diagram**

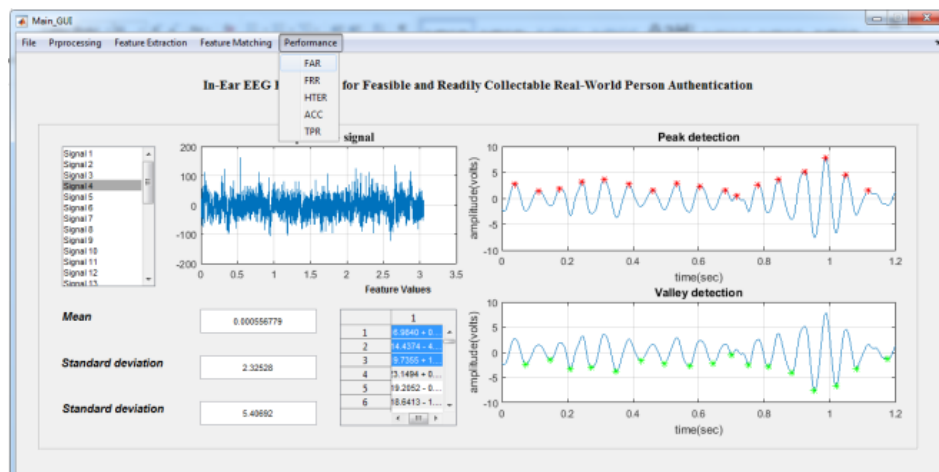
In this study, a method for using EEG devices to identify individuals using their computing devices is demonstrated. The findings show that it is possible to use distinct, difficult-to-forge traits as absolute biometric authenticators by utilising the impulses produced by various brain regions in response to visual cues. The result of this study emphasises the significance of the prefrontal cortex and temporal lobes to record distinct reactions to pictures that activate strong emotions. By using SVM machine learning algorithms, machine learning algorithms offer better precision.

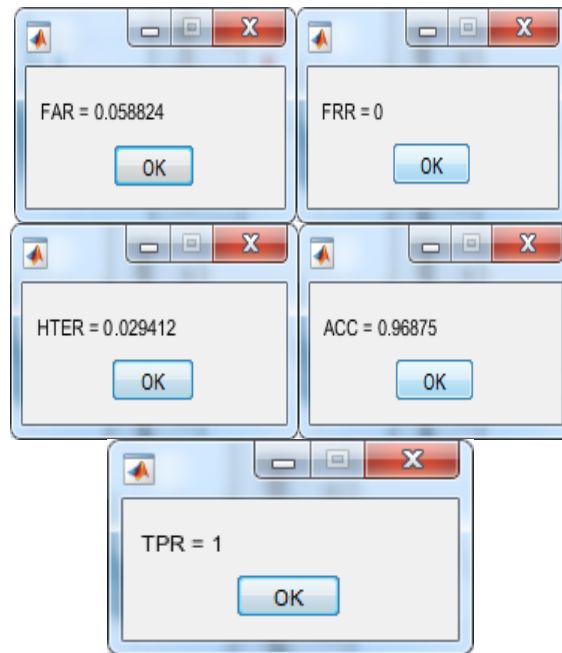


**Fig 2: Flow Diagram**

#### 4. RESULTS

This study suggests a generic, "one-size-fits-all" viscoelastic in-ear EEG sensor as the foundation for an easily deployable EEG biometrics system. The viability of the suggested ear-EEG biometrics is shown by thorough investigation across a variety of participants, settings, and analytic aspects. One of the current study fields in human-computer interaction is encephalogram (EEG) devices (HCI). In this study, a method for using EEG equipment to authenticate people using their computing devices is demonstrated. The findings show that it is possible to use distinct, difficult-to-forge traits as absolute biometric authenticators by using the signals produced by several brain regions in response to visual stimuli. At segment sizes of 60 s, we were able to get HTER of 17.2% with an AC of 95.7% for the dataset from fifteen participants.





**Fig 3: Performance Estimation**

## 5. CONCLUSION

By using an inconspicuous, discrete, and easy-to-use in-ear EEG instrument, we demonstrated the viability, collectability, and reproducibility of EEG biometrics in the public. We used reliable PSD and AR features to detect a specific person, and in contrast to majority of prior research, we carefully conducted categorization without combining validation and training data from the similar tracking days. With section sizes of 60s, we were able to obtain HTER of 17.2% with an AC of 95.7% for the dataset from fifteen individuals.

## 6. FUTURE ENHANCEMENT

In the future, the method of adaptive multi-wavelet transform can be used to decompose signals and retrieve features. The performance features of QRS detection of electrocardiogram data can be enhanced by using adaptive multi-wavelet transform method. In attempting to auto classify more types of arrhythmia, sophisticated soft computing techniques may also be used in the work.

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