

# Heat Transfer Through Porous Materials (Aluminum Foam) Empirical Optimization of a Heat Exchanger

Dr. B. S. Bhaskar<sup>1</sup>, Dr. M. S. Deshmukh<sup>2</sup>, Dr. S.K. Choudhary<sup>3</sup>, Dr. D. S. Deshmukh<sup>4</sup>

<sup>1</sup>Asst. Professor, Department of Mechanical Engineering, St. Vincent Pallotti College of Engineering & Technology, Gavsimanapur, Wardha Road, Nagpur, India.

<sup>2\*</sup>Associate Professor, Department of Mechanical Engineering, AISSMS College of Engineering, 1-Kennedy Road, Shivajinagr Pune, India.

<sup>3</sup>Professor, Department of Mechanical Engineering, KarmveerDadasahebKannamwar College of Engineering, Great Nag Road, Nandanwan, Nagpur, India.

<sup>4</sup>Associate Professor, Department of Mechanical Engineering, G. H. Raisoni College of Engineering, Digdoh hills, Hingna Road, Nagpur, India.

## Abstract

Latest developments in the manufacturing technology have led to development of advance lightweight materials for thermal applications. Heat transfer(q) optimization through Aluminum Foam(AlSi7Mg) has gained significance in industrial as well as academic research. In this paper Heat transfer optimization through Aluminum Foam heat exchanger, has been presented. The experimental data was used to calculate and then optimize the Nusselt number (Nu). The models selected for optimization were log-log linear, exponential and polynomial model. The Nusselt number for the heat exchangers was calculated at  $u = 0.3-1.5$  m/s cold fluid velocity, whereas hot fluid temperature was varied from 30-50 °C. The best performance for response variable was exhibited by heat exchanger at effectiveness  $\varepsilon = 30\%$ , at  $u = 0.3$  m/s. The co-efficient of determination  $R^2$  was 99.80 for log-log linear model. The results of optimization can be further validated using artificial neural networks, fuzzy logic or genetic algorithms.

## Nomenclature:

**Keywords:** Aluminum foam, Nusselt number, optimization.

## Introduction

Saving material and energy are main objectives for optimization. The important issues that should be defined during the design process, taking in to consideration the cost of material, is the optimization of the heat transfer. The optimization process can consider minimum weight for a specified heat flow, for a set of specified conditions (such as the heat dissipation from the fin faces, minimum mass, minimum pressure drop etc). In order to intensify the heat transfer from the heat exchanger surface to fluid, it is possible to increase convection coefficient (by increasing the fluid velocity), increasing temperature difference between hot and cold fluid or increase the surface area across which convection occurs. Aluminium foam heat exchangers are used in applications where the need of increasing the heat transfer between a surface and an adjacent fluid exists. The objective of the experiment was to measure the performance of the Aluminum Foam heat exchangers and its optimization in a cross-flow arrangement. The concept was to supply the cold air at ambient temperature to flow through a square duct in which the Aluminum Foam heat exchanger is placed, occupying the entire cross-section of the duct. The experiment of the Aluminum Foam heat exchangers included measuring the coolant temperature and the pressure drop( $\Delta p$ ) across the heat exchangers for various coolant flow rates. At the end, empirical relationship between the dependent and independent variables was developed using the experimental data collected. The data collected was further used for optimization of response variable.

**1. Experimentation**

The experiment was conducted as per the classical plan of experimentation and setup was fabricated to facilitate variation of  $\pi$  terms, the experimental setup is shown in figure 1. The dimensions of the heat exchangers, number of pipes, and pipe diameters were kept the same during the experimentation process.

Table 1. Thermo-physical parameters for aluminum foams (ERG Aerospace) [3]

Sr. No.	Particular	Specification
1	Pore density (PPI)	20
2	Porosity, $\epsilon$	0.90
3	Heat conductivity, $k(W/m-K)$	165
4	Density, $(kg/m^3)$	230
5	Specific surface, $\sigma (m^2/m^3)$	1500
6	Height, $H (mm)$	101
7	Length, $L (mm)$	101
8	Width, $W (mm)$	10

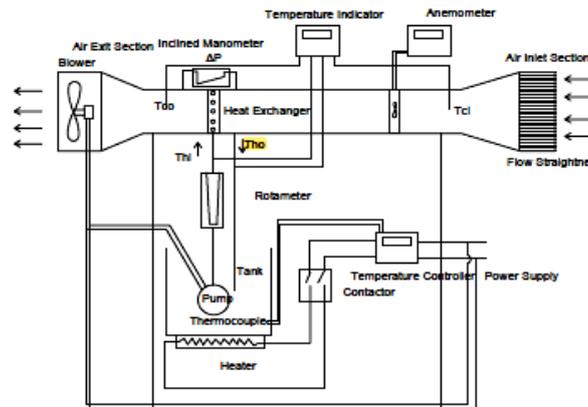


Fig. 1. Heat exchanger and setup used in experiment. [2]

**2. Constant Parameters in the Experimentation [2,3]**

The hot fluid (water) Mass flow rate ( $m^3/h$ ), Porosity of aluminum foam as specified by manufacturer ( $\epsilon_f=0.90$ ), The number of tubes parallel to the flow direction,  $N_T = 5$ , The number of tubes perpendicular in the flow direction,  $N_L = 1$ , The distance between the tubes perpendicular to the flow direction,  $S_T = 0.01$  m, The number of tubes,  $N_t = 05$ , Aluminum Tube inside diameter,  $d_i = 0.0085$  m, Aluminum Tube outside diameter,  $d_o = 0.009$  m, Hydraulic diameter,  $d_h = 0.101$  m, Perimeter of the duct,  $P = 0.404$  m, The duct cross-section area,  $A_c = 0.101m \times 0.101 m = 0.010 m^2$ . The length of upstream side of the square duct = 0.914 m, Number of passes = 1, Total length of the duct, = 2.10 m. [2,3].

**3. Performance Analysis of Heat Exchanger [3,14]**

The method used for evaluating the heat transfer performance of heat exchanger is discussed here. The details of the experimentation are presented in [2,3].

**3.1 The  $\epsilon$ -NTU Method**

In the  $\epsilon$ -NTU method, the heat transfer rate from the hot fluid to the cold fluid in the exchanger is expressed as:

$$q = \epsilon C_{\min} (T_{hi} - T_{ci}) = \epsilon C_{\min} \Delta T_{\max} \dots \dots \dots (1)$$

Where  $\epsilon$  is the heat exchanger effectiveness, sometimes referred as the thermal efficiency,  $C_{\min}$  is the minimum of  $C_h$  and  $C_c$ ,  $\Delta T_{\max} = (T_{hi} - T_{ci})$  is the fluid inlet temperature difference (ITD).

**3.2 Heat Exchanger Effectiveness ( $\epsilon$ )**

Effectiveness  $\epsilon$  is a measure of thermal performance of a heat exchanger.

$$\epsilon = \frac{q}{q_{\max}} \dots \dots \dots (2)$$

The overall energy balance for the two fluid streams is

$$q = C_h (T_{hi} - T_{ho}) = C_c (T_{co} - T_{ci}) \dots \dots \dots (3)$$

Based on this equation, for  $C_h < C_c$ ,  $(T_{hi} - T_{ho}) > (T_{co} - T_{ci})$

The temperature drop on the hot fluid side will thus be higher, and over the infinite flow length the hot fluid temperature will approach the inlet temperature of the cold fluid, resulting in  $T_{ho} = T_{ci}$ . Thus, for an infinite area counter flow exchanger with  $C_h < C_c$ , we get:

$$q_{max} = C_h(T_{hi} - T_{co}) = C_h \Delta T_{max} \dots \dots \dots (4)$$

Using the value of actual heat transfer rate  $q$  from the energy conservation equation (3) and  $q_{max}$  from Eq. (4), the exchanger effectiveness of Eq. (5) valid for all flow arrangements of the two fluids is given by

$$\varepsilon = \frac{C_c(T_{co} - T_{ci})}{C_{min}(T_{hi} - T_{ci})} \dots \dots \dots (5)$$

### 3.3 Calculation of Heat Transfer Parameters

The heat supplied by the hot fluid,

$$q = m_h c_{ph}(T_{hi} - T_{ho}) \dots \dots \dots (6)$$

The heat received by the cold fluid,

$$q = m_c c_{pc}(T_{co} - T_{ci}) \dots \dots \dots (7)$$

$$\text{If } C_h > C_c, \text{ then } C_{min} = C_c \dots \dots \dots (8)$$

The following relation can be used for the effectiveness of the heat exchanger.

$$\varepsilon = \frac{q}{q_{max}} = \frac{C_c(T_{co} - T_{ci})}{C_{min}(T_{hi} - T_{ci})} \dots \dots \dots (9)$$

The heat transfer coefficient, can be written as,

$$h = \frac{\rho_c N_t S_f u_c c_{pc} (T_{co} - T_{ci})}{(\pi DL) N_t \left( \frac{T_{hi} + T_{ho}}{2} - \frac{T_{ci} + T_{co}}{2} \right)} \dots \dots \dots (10)$$

$$\text{Nusselt number, } Nu = \frac{hd_h}{k} \dots \dots \dots (11)$$

$$\text{Duct hydraulic diameter, } d_h = \frac{4A_c}{P} \dots \dots \dots (12)$$

$$\text{Reynolds number, } Re_{max} = \frac{\rho u d_h}{\mu} \dots \dots \dots (13)$$

## 4. Experimental Setup [2]

The experimental setup was designed to vary various  $\pi$  terms as per the plan of experimentation. The temperature of hot fluid was set at 35°C, and the corresponding values of  $h$ ,  $k$  were calculated. The  $D_h$  is constant during the entire experimentation. For setting  $\pi_2 = 177.87$ , the cold fluid velocity was set at 0.3m/s and the cold fluid properties were calculated. For setting  $\pi_3 = 0.713$  the cold fluid parameters corresponding to its temperature were calculated, and for setting  $\pi_4 = 4696.34$  the temperature difference of cold fluid, velocity of cold fluid and dynamic viscosity were substituted in to the  $\pi$  term. All the observations were recorded in to a Microsoft excel sheet. The experiment was again performed for the next values of  $\pi$  terms and the observations were recorded.

## 5. Heat Transfer Model Formulation [14,15,16]

For model formulation graphical rectification of data is carried out. The first step in analyzing the data the value of a dependent variable is plotted on a simple linear graph against the variation of an independent  $\pi$  term. As a next step, data was plotted by taking log of both dependent variable and independent variable. To rectify the function

$$y = kx^a \dots \dots \dots (14)$$

Where transformation is  $\log y = \log k + a \log x$ .

It was observed that the plot on log-log coordinate is nearly a straight line therefore linear model is fitted.

$$Y = K (\pi_1)^a (\pi_2)^b (\pi_3)^c (\pi_4)^d \dots \dots \dots (15)$$

The second type of graph is semi logarithmic type with log coordinate on y axis and liner coordinate along x axis. This gives a straight line if data are following a function.

$$Y = K e^{ax} \dots \dots \dots (16)$$

The transformation of the function is

$$\log y = \log k + ax \dots \dots \dots (17)$$

With the polynomial model type, the response variable is transformed into a polynomial series of the specified degree.

$$Y = K + a_1 \pi_1 + b_1 \pi_1 + a_2 \pi_2^2 + b_2 \pi_2 + a_3 \pi_3^2 + b_3 \pi_3 \dots \dots \dots (18)$$

### 5.1 Formulation of Log-Log Linear Model

The procedure for formulating log-log linear model for Nusselt number is given below.

Log-log linear model will be of the form given below.

$$Nu = K (\pi_2)^a (\pi_3)^b (\pi_4)^c \dots \dots \dots (19)$$

Taking log of both sides of above equation we get

$$\log_e Nu = \log_e K + a \log_e \pi_2 + b \log_e \pi_3 + c \log_e \pi_4 \dots \dots \dots (20)$$

The values of  $a$ ,  $b$ , and  $c$  are the slopes of log-log plot between  $Nu$  and  $\pi_2$ ,  $\pi_3$ ,  $\pi_4$ , respectively.

Using regression analysis, the values of exponents, a, b, and c were calculated. The regression analysis was performed using statistical software Minitab 17. The values of  $\pi$  terms obtained from experimentation were log transformed and the values of exponents were found out as follows.

### 5.2 Regression Analysis: log Nu versus log $\pi_2$ , log $\pi_3$ , log $\pi_4$

Regression Equation

$$Nu = -0.6517 + 0.8680 \pi_2 + 0.9990 \pi_3 + 0.00001 \pi_4 \dots (21)$$

Equation 21 is the log-log model for dependent  $\pi$  term with the value of constant is -0.6517 and  $R^2=99.80$ .

### 5.3 Formulation of Exponential Model

The procedure for formation of exponential model for Nusselt number is discussed below.

$$Nu = k e^{a_2 \pi_2} e^{a_3 \pi_3} e^{a_4 \pi_4} \dots (22)$$

Taking log of both sides of above equation we get,

$$Nu = \log k + a_2 \pi_2 + a_3 \pi_3 + a_4 \pi_4 \dots (23)$$

The values of  $a_2$ ,  $a_3$ , and  $a_4$  are the slopes of semi logarithmic graphs between Nusselt numbers and  $\pi_2$ ,  $\pi_3$ ,  $\pi_4$ .

### 5.4 Regression Analysis: Nu versus $\pi_2$ exp, $\pi_3$ exp, $\pi_4$ exp

Regression Equation

$$Nu = -3.760 + 1.752 \pi_2 + 4.03 \pi_3 + 0.3948 \pi_4 \dots (24)$$

Equation 24 is the exponential model for dependent  $\pi$  term with the value of constant is -3.760 and  $R^2=90.21$ .

### 5.5 Formulation of Polynomial Model

The Polynomial model is of the form  $Nu = K + a_2 \pi_2^2 + b_2 \pi_2 + a_3 \pi_3^2 + b_3 \pi_3 + a_4 \pi_4^2 + b_4 \pi_4$ , by performing regression analysis the values of exponents  $a_2$ ,  $b_2$ ,  $a_3$ ,  $b_3$  and  $a_4$ ,  $b_4$  are calculated.

### 5.6 Regression Analysis: Nu versus $\pi_2$ , $\pi_2^2$ , $\pi_3$ , $\pi_3^2$ , $\pi_4$ , $\pi_4^2$

Regression Equation

$$Nu = -31.65 - 0.000003 \pi_2^2 + 0.062237 \pi_2 + 71.89 \pi_3^2 - 0.00 \pi_4^2 + 0.000072 \pi_4 \dots (25)$$

Equation 25 is the polynomial model for dependent  $\pi$  term with the value of constant is -31.65 and  $R^2=99.02$ .

## 6. Error Analysis of Model

To establish the accuracy of the model, the error i.e. the difference between actual value of dependent variable and predicted value of dependent variable by substituting the values of independent  $\pi$  terms in experimental setting is evaluated. As the experiment is conducted at 80 different settings the error for only half number of settings is evaluated. On the basis of error, the coefficient of determination ( $R^2$ ) is evaluated. Coefficient of determination provides a measure of how well future outcomes likely to be predicted by this model. The value of  $R^2$  is calculated by using formula as given below:

$$R^2 = 1 - \frac{\sum (y_i - t_i)^2}{\sum (y_i - \bar{y})^2} \dots (26)$$

Where  $y_i$ =observed value of dependent variable for  $i_{th}$  experimental setup.

$t_i$ =predicted value of dependent variable for  $i_{th}$  experimental setup

$\bar{y}$ =mean of  $y_i$

$R^2$  = Coefficient of determination.

### 6.1 Error Analysis of log- log Model

As per the regression analysis in section 5.14 the coefficient of determination is:

Table 2. Value of  $R^2$  for Log-Log Linear model

S	R-sq	R-sq(adj)	R-sq(pred)
0.00	99.80%	99.80%	100%

The value for  $R^2=99.80\%$  shows excellent fit for the observed data.

### 6.2 Error Analysis of Exponential Model

As per the regression analysis in section 5 the coefficient of determination is:

Table 3. Value of  $R^2$  for exponential model

S	R-sq	R-sq(adj)	R-sq(pred)
0.129016	90.21%	89.64%	88.82%

The value for  $R^2=90.21\%$  shows good fit for the observed data.

### 6.3 Error Analysis of Polynomial Model

As per the regression analysis in section 5.16 the coefficient of determination is:

Table 4. Value of  $R^2$  for exponential model

S	R-sq	R-sq(adj)	R-sq(pred)
0.032	99.02%	98.89%	98.10%

The value for  $R^2=99.02$  shows excellent fit for the observed data.

## 7. Optimization of Model

For Optimization of the model  $R^2$  was calculated with all readings and the error was calculated using Minitab-17 statistical package, the values with high error were omitted and again  $R^2$  was calculated until all reading with high value of error were omitted. The procedure was repeated for all the models for maximum value of  $R^2$ .

## 8. Validations of Models

### 8.1 Log- log Linear Model

The validation of log-log linear model is carried out as it is having highest value of  $R^2$ . For validation of model the experimental data for  $\pi_2, \pi_3, \pi_4$  is fed in to the model and predicted values of  $\pi_1$  are calculate for some of the experimental data. The log - log linear model for Nusselt number is as under.

$$Nu = -0.6517 + 0.8680 \pi_2 + 0.9990 \pi_3 + 0.00001 \pi_4 \dots (27)$$

By substituting the values of various independent variables in eq. 27 the predicted values Nusselt number is evaluated.

### 8.2 Exponential Model

The validation of model is carried out from the experimental data for  $\pi_2, \pi_3, \pi_4$  is fed in to the model and predicted values of  $\pi_1$  are calculate for some of the experimental data.

$$Nu = -3.760 + 1.752 \pi_2 + 4.03 \pi_3 + 0.3948 \pi_4 \dots (28)$$

The value of constant K is -3.760, and  $R^2$  is 90.21.

### 8.3 Polynomial Model

The validation of polynomial model is carried out for validating, the experimental data for  $\pi_2, \pi_3, \pi_4$  is fed in to the model and predicted values of  $\pi_1$  are calculate for some of the experimental data.

$$Nu = -31.65 - 0.000003 \pi_2^2 + 0.062237 \pi_2 + 71.89 \pi_3^2 - 0.000000 \pi_4^2 + 0.000072 \pi_4 \dots (29)$$

### 8.4 Comparison of Models

The values of coo-efficient of determination are calculated and tabulated.

Table 5. Comparison of Models for Nu

Sr. No.	Model	Governing Equation	$R^2$
1	Log-Log Linear	$Nu = -0.6517 + 0.8680 \pi_2 + 0.9990 \pi_3 + 0.00001 \pi_4$	99.80
2	Exponential	$Nu = -3.760 + 1.752 \pi_2 + 4.03 \pi_3 + 0.3948 \pi_4$	90.21
3	Polynomial	$Nu = -31.65 - 0.000003 (\pi_2)^2 + 0.0622 (\pi_2) + 71.89 (\pi_3)^2 - 0.00 (\pi_4)^2 + 0.000072 (\pi_4)$	99.02

The Table 6 indicates that the coo-efficient of determination  $R^2$  for Nusselt number is maximum for log-log linear model.

## 9. Interpretation of Model

An attempt was made to fit the following models to observed data generated by the experimentation using classical plan of experimentation.

The co-efficient of determination  $R^2$  for Nusselt number is maximum for log-log linear model. Hence the log-log linear model will be used for predicting value of Nusselt number. The log-log linear model for Nusselt number is given below.

$$Nu = -0.617 + 0.860 (\pi_2) + 0.999 (\pi_3) + 0.00001 (\pi_4) \dots (30)$$

### 9.1 Variation of Nu with $\pi_2$

It is observed from the model that as the value of  $\pi_2$  is increased the value of Nusselt number goes on increasing. At  $\pi_2=1900$ , the value of Nusselt number is maximum. Therefore, the optimal value of  $\pi_2$  for Nusselt number is 1900. As the Nusselt number actually defines the heat transfer performance of heat exchanger the optimal value of  $\pi_2$  is and the velocity of cold fluid is 3 m/s.

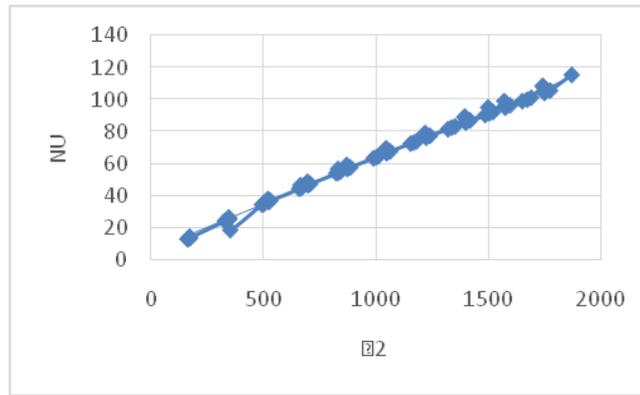


Fig.2 Variation of Nu with  $\pi_2$

### 9.2 Variation of Nu with $\pi_3$

As the terms containing value of  $\pi_3$  is positive the Nusselt number goes on increasing to the maximum value. So, the optimal value of  $\pi_3$  for Nusselt number is 0.727.  $\pi_3$  represents fluid Prandtl number which dependent on the cold fluid temperature. The Prandtl number fairly remains constant for large temperature if the fluid is air.

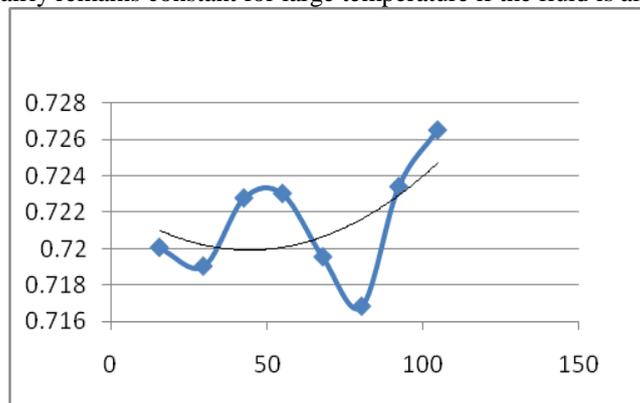


Fig.3 Variation of Nu with  $\pi_3$

### 9.3 Variation of Nu with $\pi_4$

It is observed from the model that as the value of  $\pi_4$  is decreased the value of Nusselt number goes on increasing. As  $\pi_4$  approaches zero, the value of Nusselt number is maximum.  $\pi_4$  represent viscous dissipation in heat exchanger. The optimal value for  $\pi_4$  is close to zero. The viscous forces should be minimum to enhance the heat transfer parameter Nu.

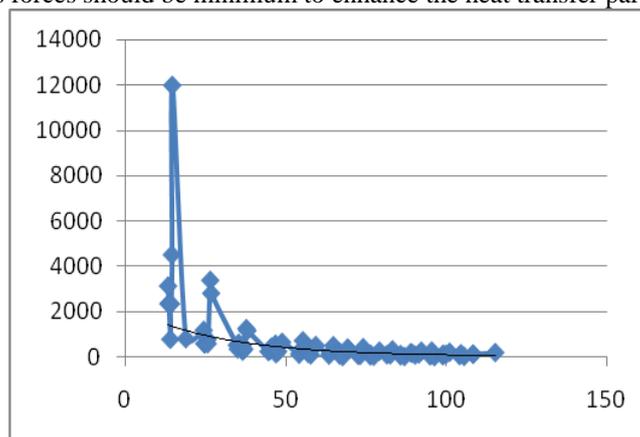


Fig.4 Variation of Nu with  $\pi_4$

## 10. Sensitivity Analysis

The log-log linear model for Nusselt number indicates that the performance measures are the logarithmic functions of independent  $\pi$  terms  $\pi_2$ ,  $\pi_3$ ,  $\pi_4$  defining the various independent variables i.e. Reynolds number, Prandtl number and the Brinkman number. As these  $\pi$  terms are varied the Nusselt number also varies. The sensitivity of a  $\pi$  term indicates the percentage change in Nusselt number as the independent  $\pi$  term is changed by 1 %.

### 10.1 Sensitivity Analysis for $\pi_2$

The values of the sensitivity analysis at minimum, medium, maximum and average value of  $\pi_2$  i.e. 177.87, 711, 1244 and 710.957. The fig. 5 indicates the sensitivity the model at the optimum value of  $\pi_2$  i.e. 710.957. It shows the relationship between  $\pi_2$  and sensitivity of Nusselt number. It is observed that as the  $\pi_2$  increase the sensitivity goes on increasing and the sensitivity was maximum at maximum value of  $\pi_2$  and the optimal value of  $\pi_2$  decreases.

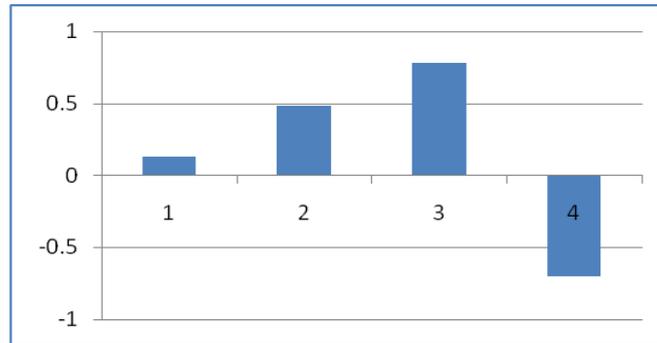


Fig. 5 Sensitivity graphs of  $\pi_2$

### 10.2 Sensitivity Analysis for $\pi_3$

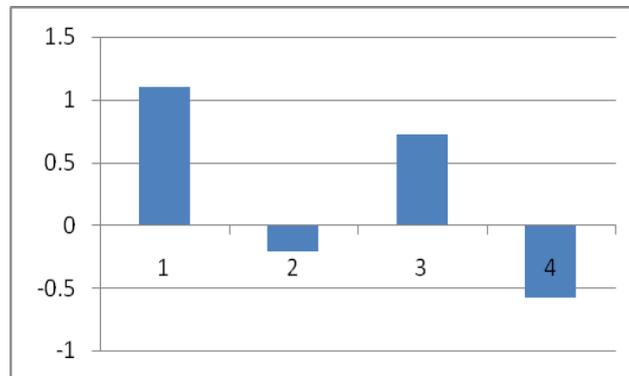


Fig.6 Sensitivity graphs of  $\pi_3$

Fig.6 shows the values of the sensitivity analysis at minimum, medium, maximum and optimal value of  $\pi_3$  i.e. 0.711, 0.713, 0.745 and 0.723. The fig. also indicates the sensitivity of the model at the optimum value of  $\pi_3$  i.e. 0.723. It shows the relationship between  $\pi_3$  and sensitivity of Nusselt number. It is observed that as the  $\pi_3$  increase the sensitivity goes on decreasing and the sensitivity was maximum at minimum value of  $\pi_3$ .

### 10.3 Sensitivity Analysis for $\pi_4$

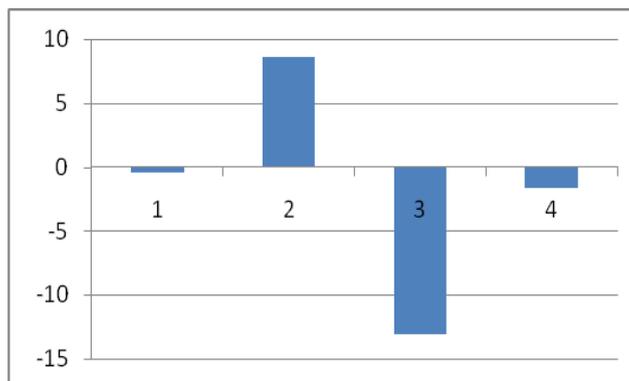


Fig.7 Sensitivity graphs of  $\pi_4$

Fig. 7 shows the values of the sensitivity analysis at minimum, medium, maximum and optimal value of  $\pi_4$  i.e. 810.12, 93.91, 31.29 and 311.77. It indicates the sensitivity of the model at the optimum value of  $\pi_4$  i.e. 311.77. It shows the relationship between  $\pi_4$  and sensitivity of Nusselt number. It is observed that as the  $\pi_4$  increase the sensitivity increases then again decreases. The sensitivity was maximum at minimum value of  $\pi_4$ .

## 11. Results

At  $\pi_2=1900$ , the value of Nusselt number is maximum, Therefore, the optimal value of  $\pi_2$  for Nusselt number is 1900 at cold fluid velocity of 3 m/s. The optimal value of  $\pi_3$  for Nusselt number is 0.727,  $\pi_3$  represents fluid Prandtl number which fairly remains constant for large temperatures if the fluid is air. It is observed that as the value of  $\pi_4$  is decreased the value of Nusselt number goes on increasing. As  $\pi_4$  approaches zero, the value of Nusselt number is maximum.  $\pi_4$  represent viscous dissipation in heat exchanger. The optimal value for  $\pi_4$  is close to zero. The viscous forces should be minimum to enhance the heat transfer parameter Nu.

## 12. Conclusion

As per the planning of experimentation the experimental setup was fabricated, trial run was conducted. Modifications in the experimental setup were carried out. Experimentation was conducted within the test envelope and results were calculated. The quantitative analysis of the data was carried out. The model was prepared from the experimental data. Three different models were tested. Optimum model was log-log linear model. Validation of model was carried out. The sensitivity analysis was done to check the robustness of the model.

## Future Scope

The optimization results can be further validated using artificial neural networks, fuzzy logic and genetic algorithms.

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